

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Format of Holding of Specified Securities

For the Quarter ended 30th September, 2016

ANNEXURE - I

1	Name of the Listed Entity	Emkay Global Financial Services Limited
2	Scrip Code/Name of the Scripe/Class of Security	532737/ EMKAY/ Equity Shares
3	Share Holding Pattern Filed under: Reg. 31(1) (a)/Reg.31(1) (b) /Reg.31(1) (c)	for the Quarter ended on 30th September, 2016
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

PARTICULAR

1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed entity has issued any convertible Securities or Warrant?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

5 The Tabular Format for disclosure of holding of specified securities is as follows:-





EMKAY GLOBAL FINANCIAL SERVICES LIMITED
For the Quarter ended 30th September, 2016
Table I -- Summary Statement Holding of Specified Securities--EQUITY SHARES

Categ ory	Category of Shareholder	Nos of Shareholder s	No of fully paid up equity shares held	No of partly paid up equity shares held	No. of shares underly ing deposi tary receipts	Total nos. shares held	Shareholding as a % of total no of shares calculates as per SCR,1957as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares			Total as a % of (A+B+C)	No to shares underlyin g outstand ing convertib le securities (including s)	Shareholding as a % assuming full conversion of convertible securities (as a % of dilutes convertible share capital	Number of locked in shares XII	As a % of total shares held	Number of shares pledged or otherwise encumbered XIII	As a % of total shares	Number of equity shares held in demateriali zed form XIV	
								Class SEG X	Class Seg Y	Total									
I	II	III	IV	V	VI	VII	VIII	No of Voting Rights	Class Seg X	Class Seg Y	Total	Total as a % of (A+B+C)	X	XI	No	As a % of total shares held	No	As a % of total shares	XIV
A	Promoter & Promoter Group	7	17897500	0	0	17897500	73.2371	17897500	0	17897500	73.24	0	0	0	0	0	0	17897500	
B	Public	6080	5785602	0	0	5785602	23.6749	5785602	0	5785602	23.67	0	0	0	0	0	0	5768387	
C	Non Promoter- Non Public	1	754648	0	0	754648	3.0880	754648	0	754648	3.09	0	0	0	0	0	0	754648	
C1	Shares Underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0	0	0	0	0	0	
C2	Share field by Employee Trusts	1	754648	0	0	754648	3.0880	754648	0	754648	3.09	0	0	0	0	0	0	754648	
		6088	24437750	0	0	24437750	100.0000	24437750	0	24437750	100	0	0	0	0	0	0	24420535	

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Your success is our success





EMKAY GLOBAL FINANCIAL SERVICES LIMITED
For the Quarter ended 30th September, 2016
Table-II- Statement Showing Shareholding Pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of older shares held	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying deposit or receipts	Total nos. shares held	Shareholding % SCRR, 1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -equity Shares			Total as a % of (A+B+C)	No to shares underlying outstanding convertible securities (including share capital)	Shareholding % as a % assuming full conversion of convertible securities (as a % of dilutes)	Number of locked in shares XII	Number of shares pledged or otherwise encumbered XIII	Number of equity shares held in dematerialized form
								class X	class Y	Total						
1	Indian															
a	Individuals/ Hindu Undivided Family															
	Krishna Kumar Karwa	AACPK9149E	1	4922500		13747500	56.2552	13747500	56.26	0	0	0	0	0	0	13747500
	Prakash Kacholia	AADPK7247C	1	4750000		4922500	20.1430	4922500	20.14	0	0	0	0	0	0	4922500
	Preeti Kacholia	AADPK7245A	1	2125000		4750000	19.4371	4750000	19.44	0	0	0	0	0	0	4750000
	Raunak Karwa	ACVPK2652Q	1	1750000		2125000	8.6956	2125000	8.7	0	0	0	0	0	0	2125000
	Krishna Kumar Karwa (HUF)	AACHK2851D	1	100000		1750000	7.1611	1750000	7.16	0	0	0	0	0	0	1750000
	Murlihar Karwa (HUF)	AABHM6186L	1	100000		100000	0.4092	100000	0.41	0	0	0	0	0	0	100000
b	Central Gov/State Gov															
c	Financial Institution/Banks															
d	Any Other															
	Body Corporate		1	4150000		4150000	16.98	4150000	16.98	0	0	0	0	0	0	4150000
	Emkay Corporate Services P. Ltd	AAACE0995M		4150000		4150000	16.9819	4150000	16.98	0	0	0	0	0	0	4150000
	sub total (A-I)		7	17897500		17897500	73.2352	17897500	73.24	0	0	0	0	0	0	17897500
2	Foreign															

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EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 30th September 2016

Table-III- Statement Showing Shareholding Pattern of the Public Shareholder

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly paid up Equity Shares held	No. of shares underlying deposit receipts	Total nos. shares held	Shareholdi ng % calculates as per SCR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -IX			Total as a % of (A+B+C)	No fo shares underlying convertible securities (including share capital warrants)	Shareholdi ng as a % assuming full conversion of convertible securities (as a % of dilutes (including share capital warrants)	Number of locked in shares -XII		Number of shares pledged or otherwise encumbered -XIII	Number of equity shares held in dematerializ ed form -XIV
								class x	class Y	Total				No of total shares held	As a % of total shares held		
I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	XIII	XIV				
1 Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
b Venture Capital Fund		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
c Alternat Investment Fund		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d Foreign Venture Capital Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f Financial Institution /Bank		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0



h	Provident Fund/Pension Fund		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
i	Any Other		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
	sub total (B) (1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
2	Cent. Govt/ State Govt/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
	sub total (B) (2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
3	Non - Institutions																			N.A.	N.A.	
a	Individuals- Individuals holding nominal share capital up to Rs. 2 lakhs		5477	1882090	0	0	1882090	7.70157	1882090	4335853	4335853	1882090	17.742	0	0	0	0	0	0	N.A.	N.A.	1874875
			27	2453763	0	0	2453763	10.0409	2453763	2453763	2453763	10.041	0	0	0	0	0	0	0	N.A.	N.A.	2453763
	Dolly Khanna	ADDPD78121		377147	0	0	377147	1.5433	377147											N.A.	N.A.	377147
	Porinju V Veliyath	AADPE64206		260000	0	0	260000	1.06393	260000											N.A.	N.A.	260000
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
c	Employees Trust		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
d	Overseas Depositors (holding DRs) (balance figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	N.A.	0
e	Any Others		576	1449749	0	0	1449749	6.14189	1372758											N.A.	N.A.	1439749



Hindu Undivided Family	243	133956	0	0	133956	0.54815	133956	133956	133956	0.5482	0	0	0	0	0	0	0	0	0	N.A.	N.A.	133956
Non Res. Ind. Non Repat	28	183197	0	0	183197	0.74965	75224	75224	75224	0.3078	0	0	0	0	0	0	0	0	0	N.A.	N.A.	183197
Non Res. Ind. Repat	63	87127	0	0	87127	0.35653	48497	48497	48497	0.1985	0	0	0	0	0	0	0	0	0	N.A.	N.A.	87127
Office Bearers	20	153343	0	0	153343	0.62748	153370	153370	153370	0.6276	0	0	0	0	0	0	0	0	0	N.A.	N.A.	153343
clearing member	81	119179	0	0	119179	0.48768	188764	188764	188764	0.7724	0	0	0	0	0	0	0	0	0	N.A.	N.A.	119179
bodies corporate	141	772947	0	0	772947	3.3724	772947	772947	772947	3.3724	0	0	0	0	0	0	0	0	0	N.A.	N.A.	762947
sub total (B) (3)	6080	5785602	0	0	5785602	23.8843	5708611	5708611	5708611	23.569	0	0	0	0	0	0	0	0	0	N.A.	N.A.	5768387
Total Public Shareholding B=(B)(1) + (B) (2) + (B) (3)	6080	5785602	0	0	5785602	23.8843	5708611	5708611	5708611	23.569	0	0	0	0	0	0	0	0	0	N.A.	N.A.	5768387
																				N.A.	N.A.	





EMKAY GLOBAL FINANCIAL SERVICES LIMITED

FOR THE QUARTER ENDED ON 30th September, 2016

Table-IV- Statement Showing Shareholding Pattern of the Non Promoter- Non Public Shareholding

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Shares held	partly Paid up Equity Shares held	No. of shares underlying Equity deposit Share receipts	Total nos. shares held	Shareholding % calculates as per SCRR,1957 as a % of (A+B+C2)	Number of Voting Rights	Number of voting rights held in each class of securities - Equity Shares		Total as a % of (A+B+C)	No to outstanding convertible securities	Total Shareholding as a % assuming full conversion of convertible securities	Number of locked in shares	As a % of total shares held	Number of shares pledged or otherwise encumbered	As a % of total shares held	Number of shares held in dematerialized form	
									class X	class Y/ Total									IX
1 Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A.	0	0	0
2 Employee Benefit Trust (Under SEBI (Share Based Employee Benefit) Regulations, 2014)		1	754648	0	0	754648	3.088	754648	0	754648	3.09	0	0	0	0	N.A.	0	0	754648
Emkay Employees Welfare Trust	AAATE38666	1	754648	0	0	754648	3.0880	754648	0	754648	3.09	0	0	0	0	N.A.	0	0	754648
Total Non Promoter-Non Public Shareholding (C) = (C) (1) + (C) (2)		1	754648	0	0	754648	3.088042	754648	0	754648	3.09	0	0	0	0	N.A.	0	0	754648

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